

APR 03 2002

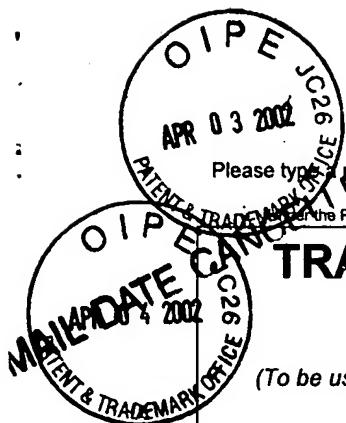
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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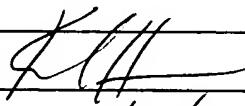
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|----------------------|------------------|
| Application Number | 10/043,086 |
| Filing Date | January 8, 2002 |
| First Named Inventor | David A. Johnson |
| Group Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket No. | 210121.489C2 |

ENCLOSURES (check all that apply)

| | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> CD(s), Number of CD(s) _____ |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> Communication to Group |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 | <input type="checkbox"/> Declaration | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Cited References | <input type="checkbox"/> Statement under 37 CFR 3.73(b) | <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Request for Refund | |

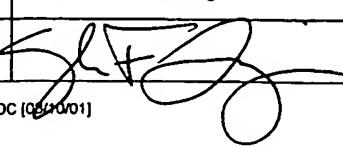
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-----------------|---|---|
| Individual Name | Karl R. Hermanns, 33,507 |  00500 PATENT TRADEMARK OFFICE |
| Signature |  | |
| Date | 3/14/02 | |

CERTIFICATE OF MAILING

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| Typed or printed name | Shane F. Lambing | |
| Signature |  | Date: 3/14/02 |



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David A. Johnson and C. Gregory Sowell
Application No. : 10/043,086
Filed : January 8, 2002
For : AMINOALKYL GLUCOSAMINIDE PHOSPHATE
COMPOUNDS AND THEIR USE AS ADJUVANTS
AND IMMUNOEFFECTORS
Docket No. : 210121.489C2
Date : March 14, 2002

Commissioner for Patents
Washington, DC 20231

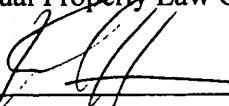
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 13 references set forth on the attached Form PTO-1449. This application is a continuation-in-part and relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/905,160, filed July 12, 2001; which is a continuation of prior Application No. 09/439,839, filed November 12, 1999; which is a continuation-in-part of prior Application No 08/853,826, filed May 8, 1997, now issued as US Patent No. 6,303,347. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



Karl R. Hermanns
Registration No. 33,507

KRH:sfl

Enclosures:

Postcard
Form PTO/SB/21
Form PTO-1449

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